

Ocean Surface Wave Optical Roughness – Innovative Measurement and Modeling

Dr. Johannes Gemmrich
Physics and Astronomy, University of Victoria, Victoria, BC, V8N 3P6, Canada;
phone: (250) 363-6448 email: gemmrich@uvic.ca

Award Number: N000140710015

LONG-TERM GOALS

I am part of a multi-institutional research team* funded by the ONR-sponsored Radiance in a Dynamic Ocean (RaDyO) program. The primary research goals of the program are to (1) examine time-dependent oceanic radiance distribution in relation to dynamic surface boundary layer (SBL) processes; (2) construct a radiance-based SBL model; (3) validate the model with field observations; and (4) investigate the feasibility of inverting the model to yield SBL conditions. The goals of our team are to contribute innovative measurements, analyses and models of the sea surface roughness at length scales as small as a millimeter. This characterization includes microscale and whitecap breaking waves.

* Prof. Michael L. Banner, School of Mathematics, The University of NSW, Sydney, Australia
Dr. Bertrand Chapron, Oceanography, IFREMER, Brest, France
Dr. Andres Corrada-Emmanuel, Physics Dept., Dept, U. Mass., Mass.
Dr. Tanos Elfouhaily (deceased) Applied Ocean Physics, RSMAS, Miami, Fl 33149 USA;
Dr. Johannes Gemmrich, Physics and Astronomy, UVic, Victoria, Canada
Russel P. Morison, School of Mathematics, The University of NSW, Sydney, Australia
Dr. Howard Schultz, Computer Vision Laboratory, Computer Science Dept, U. Mass., Mass
Dr. Christopher Zappa, Lamont Doherty Earth Observatory, Palisades, NY

OBJECTIVES

Nonlinear interfacial roughness elements - sharp crested waves, breaking waves as well as the foam, subsurface bubbles and spray they produce, contribute substantially to the distortion of the optical transmission through the air-sea interface. These common surface roughness features occur on a wide range of length scales, from the dominant sea state down to capillary waves. Wave breaking signatures range from large whitecaps with their residual passive foam, down to the ubiquitous centimeter scale microscale breakers that do not entrain air. There is substantial complexity in the local wind-driven sea surface roughness microstructure. Traditional descriptors of sea surface roughness are scale-integrated statistical properties, such as significant wave height, mean squared slope (eg. Cox and Munk, 1954) and breaking probability (e.g. Holthuijsen and Herbers, 1986). Subsequently, spectral characterisations of wave height, slope and curvature have been measured, providing a scale resolution into Fourier modes for these geometrical sea roughness parameters. More recently, measurements of whitecap crest length spectral density (eg. Phillips et al, 2001, Gemmrich, 2005) and microscale breaker crest length spectral density (eg. Jessup and Phadnis, 2005) have been reported.

Report Documentation Page				Form Approved OMB No. 0704-0188	
Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
1. REPORT DATE 2006		2. REPORT TYPE N/A		3. DATES COVERED -	
4. TITLE AND SUBTITLE Ocean Surface Wave Optical Roughness Innovative Measurement and Modeling				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Physics and Astronomy, University of Victoria, Victoria, BC, V8N 3P6, Canada;				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release, distribution unlimited					
13. SUPPLEMENTARY NOTES The original document contains color images.					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT UU	18. NUMBER OF PAGES 5	19a. NAME OF RESPONSIBLE PERSON
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified			

Our effort seeks to provide a more comprehensive description of the physical and optical roughness of the sea surface. We will achieve this by implementing a comprehensive sea surface roughness observational ‘module’ within the RADYO field program to provide optimal coverage of the fundamental optical distortion processes associated with the air-sea interface. Within our innovative complementary data gathering, analysis and modeling effort, we will pursue both spectral and phase-resolved perspectives. These will contribute directly towards refining the representation of surface wave distortion in present air-sea interfacial optical transmission models.

APPROACH

Our team will build substantially on our accumulated expertise in sea surface processes and air-sea interaction. I am working within the larger team (listed above) measuring and characterizing the surface roughness. The group plans to contribute the following components to the primary sea surface roughness data gathering effort in RaDyO:

- **polarization camera measurements** of the sea surface slope topography, down to capillary wave scales, of an approximately 1m x 1m patch of the sea surface (see Figure 1), captured at video rates. [Schultz]
- **co-located and synchronous orthogonal 75 Hz linear scanning laser altimeter** data to provide spatio-temporal properties of the wave height field (resolved to O(0.5m) wavelengths) [Banner, Morison]
- **high resolution video imagery** to record whitecap data, from two cameras, close range and broad field [Gemrich]
- **fast response, infrared imagery** to quantify properties of the microscale breakers, and surface layer kinematics and vorticity [Zappa]
- **sonic anemometer** to characterize the near-surface wind speed and wind stress [Zappa]

Our envisaged data analysis effort will include: detailed analyses of the slope field topography; laser altimeter wave height and large scale slope data; statistical distributions of whitecap crest length density in different scale bands of propagation speed and similarly for the microscale breakers, as functions of the wind speed/stress and the underlying dominant sea state. Our contributions to the modeling effort will focus on using the data to refine the sea surface roughness transfer function. This comprises the representation of nonlinearity and breaking surface wave effects including bubbles, passive foam, active whitecap cover and spray, as well as microscale breakers.

WORK COMPLETED

My effort in FY07 has been primarily in the detailed planning of the suite of sea surface roughness measurements that we will undertake during the Scripps Institute of Oceanography (SIO) Pier Experiment scheduled for January 6-28, 2008. During FY07 we refined our choices of the instrumentation needed to make the measurements described in the preceding section, and continued work on the analysis techniques for characterizing breaking crests. I participated in the FY07 RaDyO scientific planning meetings, which were held in Montreal in October 2006 and at SIO in June 2007.

RESULTS

(i) Instrumentation proposed for the Scripps Institution of Oceanography pier experiment, January 6-28, 2008.

The instrumentation complement that will be deployed in this field testing phase is shown below in Figure 1.

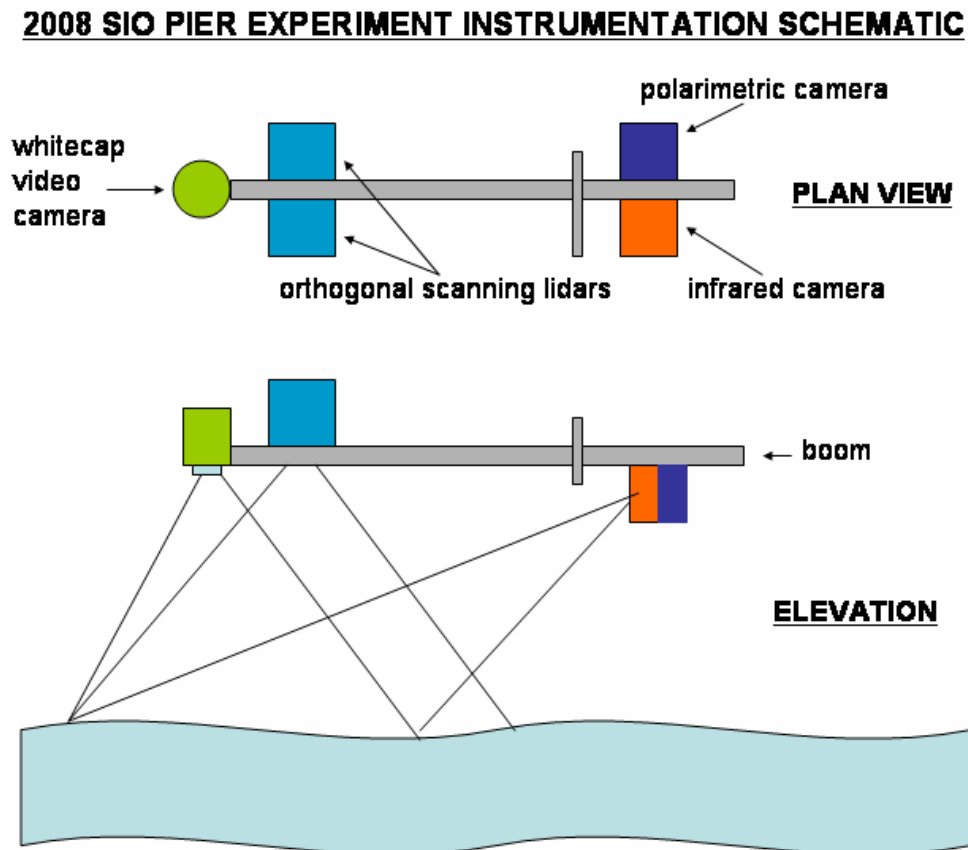


Figure 1. Schematic of instrumentation packages to be deployed from the northern swinging boom at the end of the Scripps pier. The instrumentation package consists of polarimetric, infrared and whitecap cameras as well as orthogonal scanning lidars. The end of the instrumentation boom will be about 9m from the edge of the pier and about 10m above the mean water level. The approximate field of view of the various instruments is shown. There is another wide angle whitecap video camera mounted well above the boom.

Banner/Morison plan to deploy two orthogonal line scanning lidars, synchronized for zero crosstalk. These will be positioned on the boom so that their intersection point is within the common footprint of the polarimetric (Schultz), infrared (Zappa) and visible (Gemrich) imagery cameras to measure small-scale surface roughness features and breaking waves.

Zappa will deploy his infrared/visible camera system (with blackbody target, a blackbody controller, a laser altimeter). He will also deploy his environmental monitoring system (sonic anemometer, a Licor water vapor sensor, a Vaisala RH/T/P probe, a motion package, a pyranometer, and a pyrgeometer). Gemmrich will deploy 2 video visible imagery cameras. One camera will be mounted on the main boom next to our other instrumentation packages. The second camera will be mounted higher up to provide a wider perspective on larger scale breaking events.

Schultz/Corrada-Emmanuel will deploy an instrument package located on the boom that includes a polarimetric camera imaging the very small-scale waves, an autofocus controller for this camera, a laser rangefinder for the autofocus mechanism, a polarimetric camera looking up at the sky and a motion package.

The individual data acquisition systems will be synchronized to GPS accuracy so that the various data sets can be interrelated.

IMPACT/APPLICATIONS

This effort will provide a far more detailed characterization of the wind driven air-sea interface, including wave breaking (whitecaps and microscale breaking). This is needed to provide more complete parameterizations of these processes, which will improve the accuracy of ocean optical radiative transfer models and trans-interfacial image reconstruction techniques.

RELATED PROJECTS

The present project is related to the current ONR project **WAVE ENERGY DISSIPATION AND THE DISTRIBUTION OF BREAKING CRESTS**, in which Andrew Jessup (APL, UW) is the principal investigator and I am a Co-PI (via subcontract). In this project we look at breaking crest length distributions and co-located subsurface energy dissipation measurements in a strongly forced wave field in a lake. While the wave scales in RaDyO and the lake experiment are different, common aspects of the data analysis will be transferred to our RaDyO data sets.

REFERENCES

- Cox, C.S. and Munk, W.H., 1954: Measurements of the roughness of the sea surface from photographs of the sun glitter. *J. Opt. Soc. Am.* 44, 838-850.
- Gemmrich, J, 2005: 'On the occurrence of wave breaking', 14th 'Aha Huliko'a Hawaiian Winter Workshop, January 2005, Proceedings, Eds. P. Muller et al., 123-130.
- Holthuijsen, L.H., and T.H.C. Herbers, 1986: Statistics of breaking waves observed as whitecaps in the open sea, *Journal of Physical Oceanography*, 16, 290-297.
- Jessup, A.T. & Phadnis, K.R. 2005 Measurement of the geometric and kinematic properties of microscale breaking waves from infrared imagery using a PIV algorithm. *Meas. Sci. Technol.* 16, 1961-1969.
- Phillips, O. M., Posner, F. L., and Hansen, J. P. 2001 High resolution radar measurements of the speed distribution of breaking events in wind-generated ocean waves: surface impulse and wave energy dissipation rates. *J. Phys. Oceanogr.*, 31, 450-460.

PUBLICATIONS

- Gemmrich, J.. 2007: '*Momentum flux and energy dissipation associated with breaking waves*'. In: Transport at the Air-Sea Interface. Measurements, Models and Parametrizations , Garbe, C. S.; Handler, R. A.; Jähne, B. (Eds.) , Springer Verlag, 131-142. [published, refereed]
- Gemmrich, J. R. , Banner, M. L and C. Garrett, 2007: '*Spectrally resolved energy dissipation rate and momentum flux of breaking waves*. J. Phys. Oceanog. [submitted]